



Family Wealth Inventory and Assessment

PRIVACY NOTICE

The information on this form is confidential and will be disclosed only to The Chubb Law Firm.

Please return this form to us by mail or fax prior to appointment.

If you are not certain about an answer, leave the space blank. Values of assets are approximate – a “best guess” is fine.

PERSONAL INFORMATION:

Your Name _____ Date of Birth _____

Home Address _____

City _____ State _____ Zip _____

Home Phone Number _____ Business Phone Number _____

Email Address _____ It is okay to communicate with me via E-mail.

Occupation & Employer _____

Martial/Partner Status

- Single
- Married _____ Date of Marriage _____
- Divorced Separated _____ Date of Separation or Dissolution _____
- Widowed _____ Date of Death _____
- Registered Domestic Partners _____ Date of Registration _____

Spouse/Partner's Name _____ Date of Birth _____

Spouse/Partner's Occupation & Employer _____

Spouse/Partner Business Phone Number _____ Email Address _____



(If YES, please bring copies of these documents to our consultation appointment.)

Have you/spouse/partner completed previous will, trust or estate planning?..... Yes No

Are you/spouse/partner making payments pursuant to a divorce or property settlement agreement? Yes No

Have you/spouse/partner ever signed a pre- or post-marriage contract? Yes No

Have you/spouse/partner been widowed? Yes No

Was a federal estate tax return filed? Yes No

Have you/spouse/partner ever filed federal or state gift tax returns? Yes No

Are you a U.S. citizen? Yes No

Is Spouse/Partner a U.S. citizen? Yes No

Are you or Spouse/Partner a Veteran or the widow of a Veteran? Yes No



Who referred you to our office for this consultation? _____

Living Children:

Name	Gender	Date of Birth	Parents

Deceased Children:

Name	Gender	Date of Death	Parents

Descendants of Deceased Children:

Name	Gender	Date of Birth	Parents

- Do any of the children have a learning disability? Yes No
- Do any of the children have special educational, medical or physical needs? Yes No
- Do any of the children receive governmental support or benefits? Yes No
- Are any of the children institutionalized? Yes No
- Do you provide primary or other major financial support to adult children? Yes No

ADOPTION

- Are any of your children adopted? Yes No
- Have any of your grandchildren been adopted by your children? Yes No
- Have you given up any children for adoption? Yes No
- Have any of your grandchildren been given up for adoption? Yes No
- Do you want to include any (grand)child given up for adoption in your plan? Yes No

STEP-CHILDREN AND STEP-GRANDCHILDREN ISSUES

Notes: _____

ASSET INFORMATION:

Please list the approximate totals of each category of assets:

Cash Accounts [Savings, Checking, Certificate of Deposits (CDs), Money Market Funds, etc.]

Yours \$ _____
Spouse/Partner \$ _____
Joint \$ _____

Stocks, Bonds & Mutual Funds [held individually or in brokerage accounts]

Yours \$ _____
Spouse/Partner \$ _____
Joint \$ _____

Retirement Plan Investments

IRAs (Traditional and Roth)

Yours \$ _____
Spouse/Partner \$ _____

403(b)

Yours \$ _____
Spouse/Partner \$ _____

Tax Sheltered Annuities (TSA)

Yours \$ _____
Spouse/Partner \$ _____

Other tax deferred accounts

Yours \$ _____
Spouse/Partner \$ _____

401(k)

Yours \$ _____
Spouse/Partner \$ _____

457

Yours \$ _____
Spouse/Partner \$ _____

Deferred Comp

Yours \$ _____
Spouse/Partner \$ _____

Pensions/CalPERS/STRS

Yours \$ _____
Spouse/Partner \$ _____

Life Insurance Policies & Regular Annuities [Do not include “accidental death” benefits on bank accounts, credit cards, etc.]:

Total Death Benefits Payable on Your death \$ _____
Total Death Benefits Payable on death of Spouse/Partner \$ _____

Sole Proprietorship, Corporation, Partnership, Business and Professional Interests

[Type: C Corporation (C), S Corporation (S), Sole Proprietorship (SP), Partnership (P), Limited Liability Company (LLC)]

Description of Business	Type	Value/%	Owner

Real Property [residence, investment property, rental, condo, timeshare, etc.]:

General Description and/or Address & State	Fair Market Value	Mortgage Amount	Owner

Oil/Gas/Mineral/Water Rights

General Description and/or Address & State	Fair Market Value	Owner

Autos/Boats/RVs

Yours \$ _____ Spouse/Partner \$ _____ Joint \$ _____

Other Assets [Furniture, Jewelry, Artwork, Collections, etc.]

Yours \$ _____ Spouse/Partner \$ _____ Joint \$ _____

Anticipated Inheritance or Gift

Yours \$ _____ Spouse/Partner \$ _____

Does Anyone Owe You Money? [debts payable to you] Total amount: \$ _____

Do you have a written agreement evidencing the debt? Yes No

Are you a co-owner of any assets other than with your Spouse/Partner? Yes No

Notes:

If spouse predeceased you, or if divorced, is former spouse's name still on your assets? Yes No

Notes:

Estate Planning Goals, Concerns, and Complexity

Please identify your estate planning goals, concerns, and complexity or areas you would like to learn more about (select as many as you wish):

Protect Your Children or other Beneficiaries

- From predators who can access inheritance amounts and target young or vulnerable beneficiaries
- From claims of divorced spouses to have half of your child or beneficiary's inheritance
- From malpractice claims, for beneficiaries in the professions
- From other creditor claims (such as car accident plaintiffs)
- From the stress and delays of the average 16-month process of probate
- From financial immaturity resulting in a quick loss of the entire inheritance
- From sharing assets with heirs you would rather disinherit
- From lawsuits by disinherited heirs
- For parents only:*** From relatives who would be poor, abusive or even dangerous guardians
- For parents only:*** From acquaintances and relatives who should not be allowed to be alone with your children
- For parents only:*** From a court proceeding that costs precious time in the event you are unable to authorize needed medical care for your child
- For special needs beneficiaries only:*** From neglect in the government care system

Protect Yourself and Your Spouse/Partner

- From malpractice or creditor claims
- From conservatorship proceedings (aka "living probate") if you or your partner become incapacitated
- From probate delays and stress upon your death or the death of your partner
- From hospital policies requiring life-sustaining procedures when you would rather not endure them
- From healthcare decisions made by people other than those you trust most

Ensure Quality of Life

- By benefiting a charitable organization or activity
- By planning for your pets
- By supporting a common family goal through coordinated planning
- By providing that your death will not be unnecessarily prolonged by artificial means
- By providing guidelines to keep the family vacation home in the family for several generations
- For parents only:** By providing guidelines for how your children should be supported while their assets are in trust
- For special needs beneficiaries only:** By providing instructions, people, and assets to support your special needs beneficiary above a poverty lifestyle
- For business owners only:** By providing for the orderly continuation and transfer of family business interests rather than a distress sale

Maximize Assets

- By minimizing taxes during your life (income taxes, capital gains taxes, estate taxes on inheritances you expect to receive)
- By minimizing or eliminating estate taxes upon your death (up to 55% of your assets and life insurance benefits)
- By reducing estate administration costs through probate avoidance
- By avoiding or limiting MediCal claims on your assets should you require long-term care
- By ensuring that a special needs beneficiary has assets that are protected from government seizure while retaining eligibility for needed services
- By ensuring that your family has enough life insurance to provide a reasonable lifestyle no matter what

Estate Complexity

Children

- All children of this marriage/relationship
- Some or all children from previous relationship
- Some children estranged

Amount of Estate

(include life insurance death benefits)

- Less than \$1 million
- Between \$1 million and \$2 million
- Over \$2 million

Beneficiaries

- All adults
- Some minor beneficiaries
- "Special Needs" beneficiaries

Retirement Plan & Annuity Assets

(IRA, 401(k), 403(b), TSA, etc.)

- Less than \$100,000
- Between \$100,000 - \$500,000
- Over \$500,000